

**Joal H. Broun**  
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## **EXPERIENCE**

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**NC DEPARTMENT OF THE SECRETARY OF STATE**, Raleigh, North Carolina  
**Director, Lobbying Compliance Division** (October 2004 – June 2018, December 2018 – Present)

Lead operations of the Lobbying Compliance Division. Responsible for the administration, regulation, and enforcement of the Lobbying Act, Chapter 120C, Articles 2,4,6 and 8 of the North Carolina General Statutes; create, draft, and implement surveys to the users of the Department's website and filing portal to assess customer satisfaction and make changes to the Division portion of the website.

Provide legal support as needed to the General Counsel and senior staff, including the analysis of court cases and the potential effects on the agency. Monitor the COVID-19 information; Revise and update the agency's current COVID-19 policy as needed.

- Managed the transition from the previously merged agency to the current department
- Achieved operational efficiencies while experiencing a 42% decrease in staff and 3% annual workload increase in the last five years
- Led mandatory transition from paper filing to electronic registration and report filing on schedule within six months of the passage of the statute
- Drafted and edited temporary and permanent rules in collaboration with agency general counsel
- Provided legal advice and analysis on state and federal constitutional law, including First Amendment law, state case law, and administrative law
- Provided legal advice and counsel in support of lobbying investigations
- Led division through two successful Office of State Budget audits that showed that the division was run efficiently, despite being understaffed and led to the addition of an additional employee in 2009
- Drafted and edited the job descriptions for the Lobbying Compliance Division at its inception in 2006

**NC STATE BOARD OF ELECTIONS & ETHICS ENFORCEMENT**, Raleigh, NC

**Associate General Counsel** (July 2018 –December 2018)

Provide legal analysis of lobbying issues for the public, senior agency staff, and senior state officials

- Provided the Information Technology Division of the agency with the business rules to transfer the NC Department of Secretary of State lobbying software to the current agency and the future modernization of software project for the agency; worked with agency information technology staff to establish the software to process the lobbying filings and to create reports; drafted Lobbying Handbook for legal staff
- Provided the Compliance and Disclosure Manager with operational calendar and explanations to manage the incoming lobbying filings
- Provided administrative and legal support to the intake and review lobbying staff

Lead operations of the Lobbying Compliance Division. Responsible for the administration, regulation, and enforcement of the Lobbying Act, Chapter 163A, Article 8 of the North Carolina General Statutes.

**NC DEPARTMENT OF THE SECRETARY OF STATE, Raleigh, North Carolina**

**General Counsel** (October 2004 - July 2006)

Responsible for guiding division directors and senior staff, crisis management, compliance reporting management and public policy advocacy.

- Acted as temporary Charitable Solicitation director during the absence of director
- Collaborated with Deputy Director in development of the revised notary law, Chapters 10A and 10B of the North Carolina General Statutes

**SELF HELP CREDIT UNION, Durham, North Carolina**

**Assistant General Counsel** (August 1999 - October 2004)

Led process to manage United States Small Business 504 Loans including reviewing deeds, deeds of trusts, Uniform Commercial Code financing statements and searches, title insurance, property insurance, corporate documents, financial documents, and both the lender's and borrower's attorney opinions to close loans.

- Reduced the time required to close the loans and improved the quality of the loan packages submitted to the Small Business Administration
- Acted as a liaison to Self-Help's outside counsel, SBA district counsel, and the borrower's counsel
- Conducted legal research and provided legal advice as needed with the Commercial Loan Team

**OFFICE OF THE PUBLIC DEFENDER, Judicial district 15B, Hillsborough, North Carolina**

**Assistant Public Defender, (December 1992 - July 1999)**

Represented indigent criminal defendants in district and superior courts in felony and misdemeanor trials and probation hearings. Tried over one hundred district court trials hearings, ten felony trials, and five misdemeanor trials. Conducted research, drafted, edited, and filed memoranda and motions before district and superior courts.

**Grayson & Hall, Salisbury, North Carolina**

Civil and Criminal Practice, (1990-1992)

Represented clients in district and superior courts in felony and misdemeanor trials and probation hearings. Served as second chair in a capital murder case involving a prison murder with a result of a hung jury. Represented clients in civil practice in the area of child custody and divorce.

**Heron, Burchette, Ruckert, & Rothwell, Washington, D.C.**

(1988-1990)

Represented clients before the Federal Communications Commission; assisted with the applications for radio stations for clients in the United States.

**Honorable Richard C. Erwin, United States District Court for the Middle District of North Carolina (1986-1988)**

Served as a federal law clerk for a federal district court judge; Performed research; prepared jury instructions, and drafted opinions and memoranda.

## EDUCATION

**WAKE FOREST UNIVERSITY**, Winston Salem, NC  
**Bachelor of Arts**, History, 1983

**WAKE FOREST UNIVERSITY SCHOOL OF LAW**, Winston Salem, NC  
**Juris Doctorate**, 1986

**BAR ADMISSION**, North Carolina 1986

## COMMUNITY ACTIVITIES

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**CHAPEL HILL-CARRBORO CITY SCHOOLS** **March 2016 - Present**  
**Elected Board of Education Member**

Responsible for developing policies and goals for a high performing public school system with 12,000 students and over 1,200 professional staff.

- Served as Chair of the Board for 2019

**TOWN OF CARRBORO Board of Alderman**, Carrboro, NC **January 1999 - 2011**  
**Alderman**

Elected for multiple terms to develop policy for a town of 19,000 and budget of 11 million dollars.

- Lead evaluation of capital improvements program which resulted in the Town purchasing two additional parks and construction of the Town's second fire station
- Evaluated the sufficiency of numerous zoning petitions, conditional use permits, and made other land use decisions
- Served as Board liaison to the Board of Adjustment, the Human Services Board, the Appearance Commission, and the Transportation Board
- Participated in the recruitment of the current Town Manager and Town Clerk. Advocate of greater density downtown to increase the opportunities for economic development

### **Saint Paul African Methodist Church**

- Trustee Board Member
- Missionary
- Women's Usher Board
- Gospel Choir Member
- 5K committee member

### **Chapel Hill-Carrboro Branch of the NAACP**

- Serve as the 2<sup>nd</sup> Vice President
- Served as the 3<sup>rd</sup> Vice President
- Serve as liaison to the Labor Committee
- Member of the Education Committee

### **Chapel Hill High School**

- Former member and chair of the School Improvement Team
- Former parent representative for the men's junior and varsity soccer teams

## **Personal**

- Wife of Jonathan E. Broun, staff attorney at Prisoner Legal Services
- Mother Harrison Broun, Chapel Hill High School graduate and senior at University of North Carolina at Greensboro and Rachel Broun, Chapel Hill School graduate and sophomore at Emory University, Atlanta, Georgia